

ASC Management Control Plan

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Army Management Control Plan

Process

- ✓ HQDA functional offices determine which are the key management controls based on their judgment.
- ✓ They develop management control evaluations checklists or alternative evaluations (inspections, reviews, self-study guides).



Army Management Control Plan

Process (Continued)

- ✓ **Key Management Controls:**
 - **Most Vulnerable.**
 - **Essential.**



Army Management Control Plan

Process (Continued)

- ✓ **The Assistant Secretary of Army for Financial Management and Comptroller (ASAFM) - the Army Management Control process.**
- ✓ **ASAFM- Inventory of Army Management Control Evaluations website.**



ASC Management Control Plan Process

- ✓ **ASC Management Control Administrator (MCA) reviews the DA inventory of management controls evaluations website.**
- ✓ **ASC MCA finds new evaluations/ revised evaluations on DA website.**



ASC Management Control Plan

Process (Continued)

- ✓ **The ASC MCA decides which evaluations apply to the ASC. The decision is based on:**
 - **Prior knowledge/experience.**
 - **Responsible HQ ASC functional office review/input.**
 - **Subordinate organizations/elements input.**



ASC Management Control Plan

Process (Continued)

- ✓ **HQ ASC functional office:**
 - Frequency of the evaluations.
 - Who it applies to (e.g. G1, G8, AFSBs, etc.).



ASC Management Control Plan Process (Continued)

- ✓ The ASC MCA also has the appropriate functional office develop unique evaluation checklists in response to audit findings.
- ✓ ASC MCA updates the ASC MCP spreadsheet.
- ✓ The ASC MCP is on the ASC Management Controls webpage.



AMSC Management Control Plan (Sample Excerpt)

AR	Description	FY	Eval Checklist	PUBL	Alternative evaluation	location	HQ proponent	Eval freq
11-2	Management Controls	07	AR 11-2	Y		HQ, AFSB	AMSAS-RMC	Every 2 years
525-013	Anti-terrorism	07	AR 525-013	Y		HQ, AFSB	AMSAS-IN	Every 5 years